



# How document understanding can leverage your PDF workflow

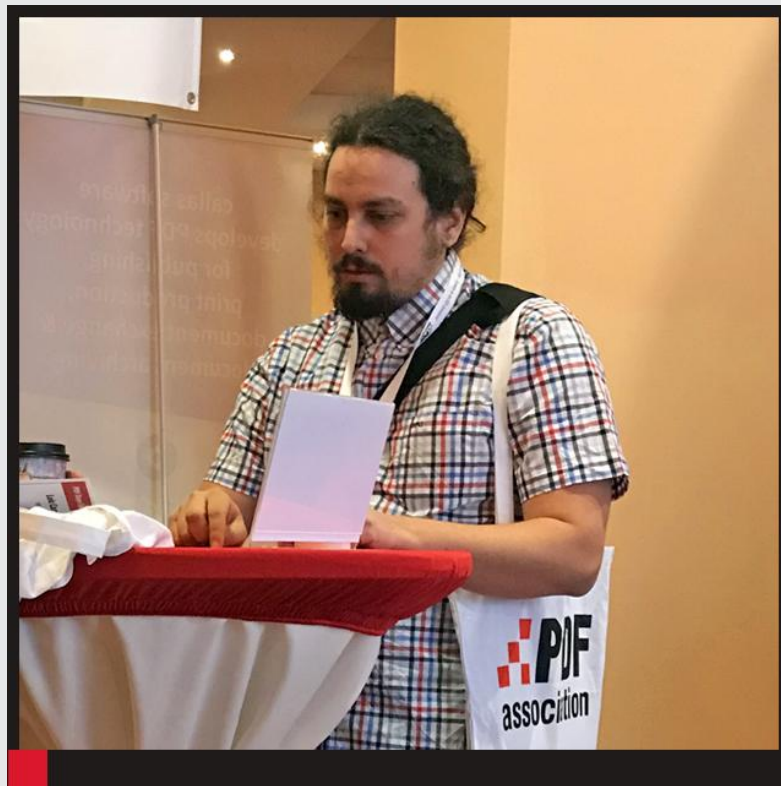
How to overcome the different challenges - fields of application



- PDF, an Unstructured Format
- Challenges of PDF
- What is Document Understanding?
- Document Layout Analysis
- Optical Character Recognition
- Key-Value Pair
- Natural Language Processing
- Named-Entity Recognition
- Fields of Application

## Matúš Pizúr

Senior developer and PDF specialist

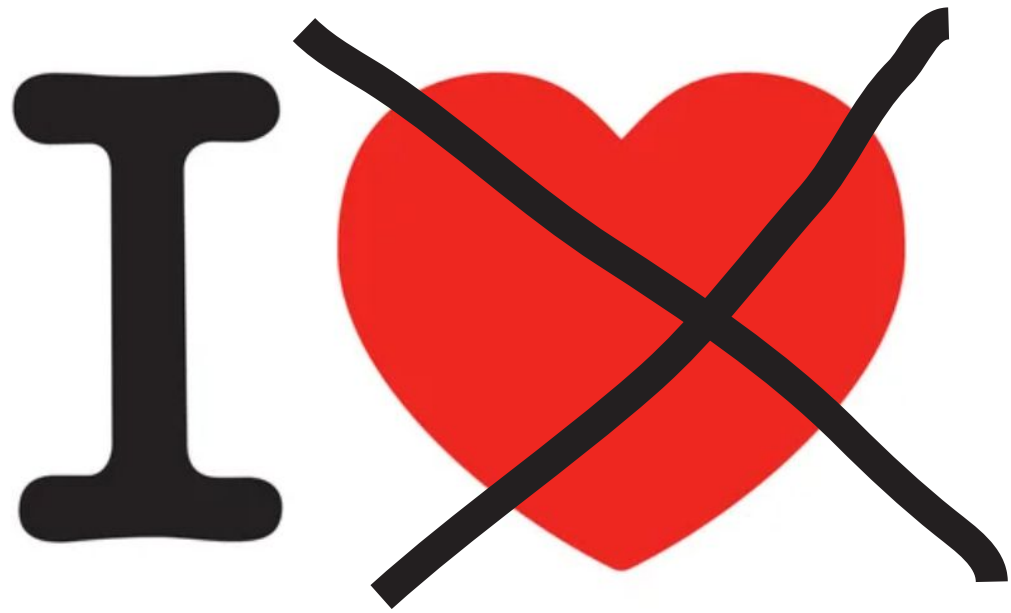


## Elodie Tellier

Copywriter and PDF Association Board Member







PDF



## File format for digital distribution of final form documents

Created with focus on:

- Consistency
- Fidelity
- Convenience
- Security





## Product of generation from data or conversion of other digital documents

- Contain unstructured text and images as individual graphic objects
- Makes use of all available features of PDF specification
- No structure on the content stream level

## Basic example

PDF

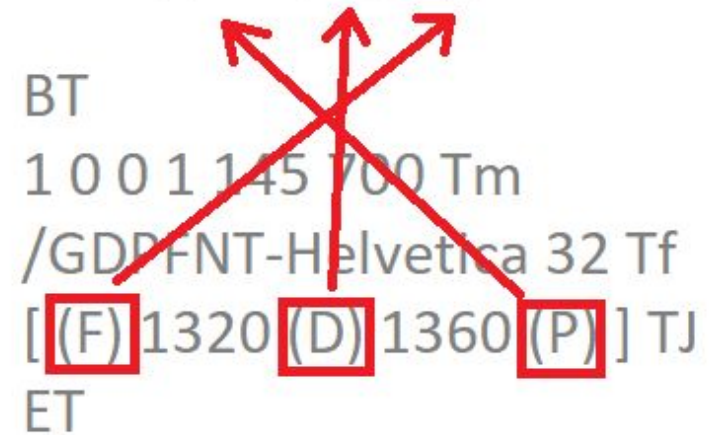


```
BT  
1 0 0 1 100 500 Tm  
/FNT1 32 Tf  
(PDF) Tj  
ET
```

## Not so basic

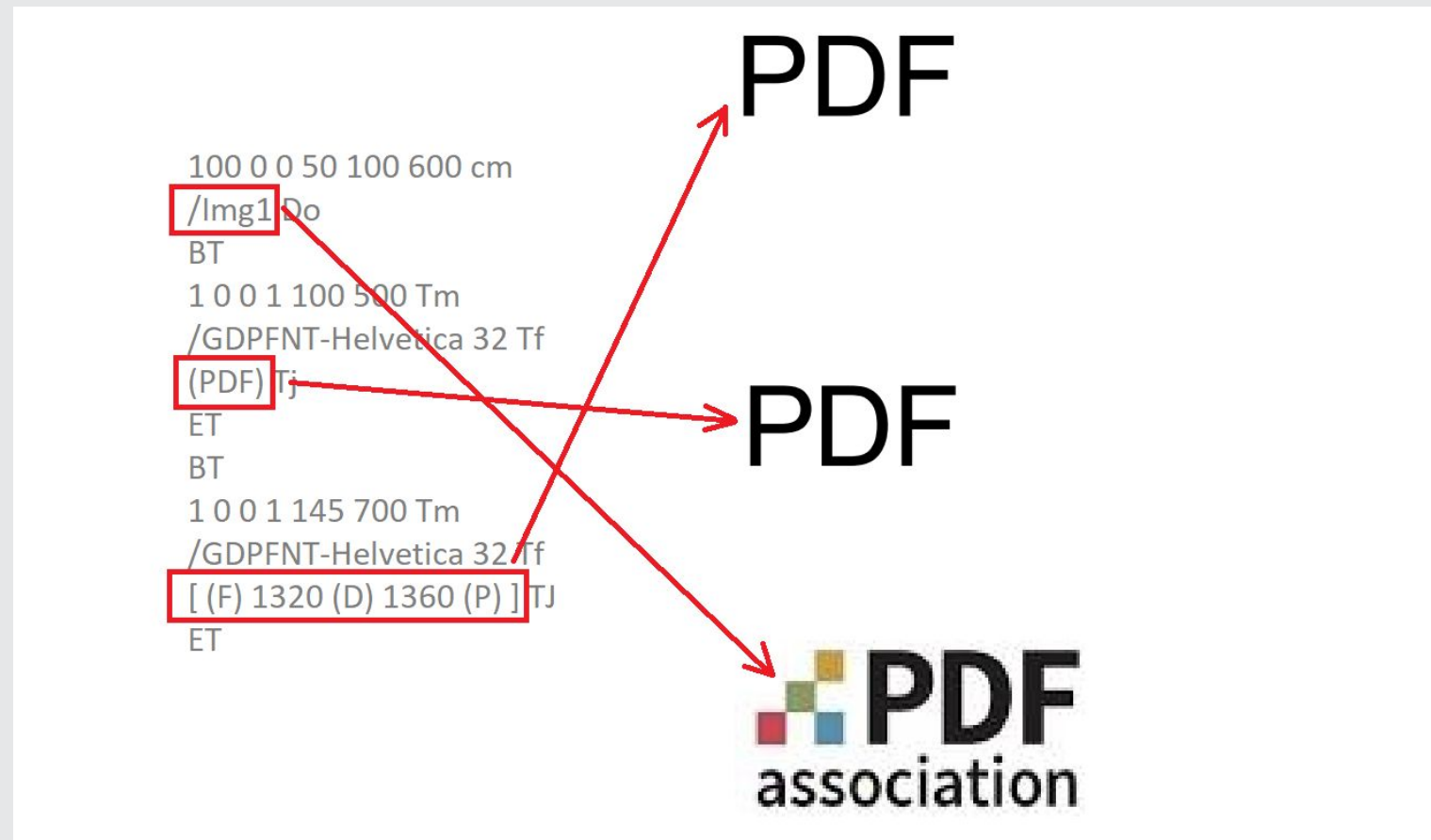
PDF

```
BT  
1 0 0 1 145 700 Tm  
/GDPFNT-Helvetica 32 Tf  
[[(F) 1320 (D) 1360 (P)] TJ  
ET
```





## Object level







## Tagging and logical structure

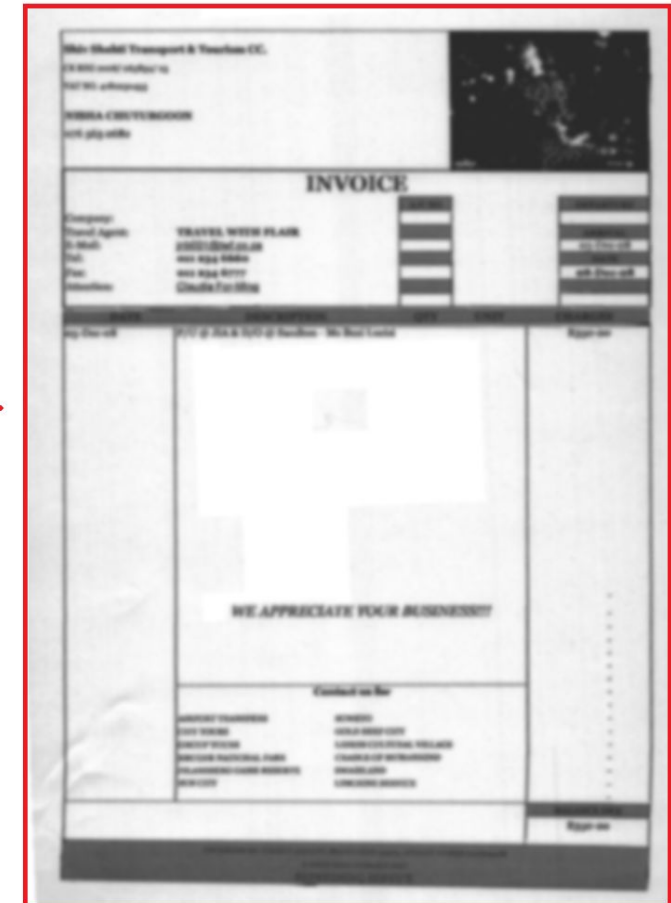
- Tagged PDF introduced in PDF 1.4 (2001)
- PDF/UA - ISO 14289 (2012)
- Still only small portion of consumed files are tagged ( 14% based on 2018 data)

# Challenges of PDF: Digitized PDF



- Single image per page
- No structure
- Constitutes a considerable portion of all PDF documents consumed

395.75999 0 0 611.75999 0 0 cm →  
/Im0 Do





## Unstructured documents represent 90% of all documents generated

These documents can be:

- Not searchable and discoverable
- Problematic in day to day work
- Unable to be processed in automated workflows
- Not accessible





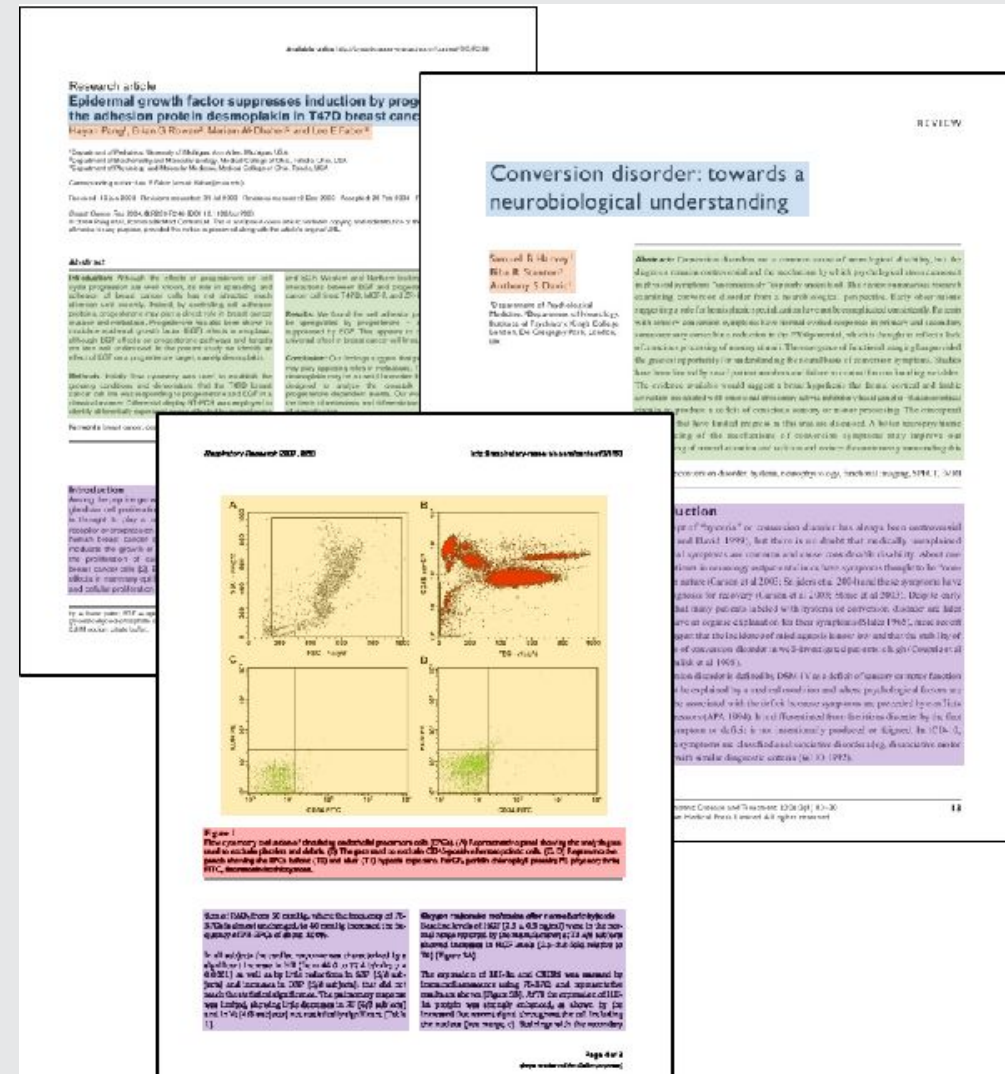
## Document understanding is at the core of any Intelligent Document Processing solution

- Layout analysis + recognition → First level of document understanding
- First level of document understanding + NLP → Reinforced document understanding

# Tech 1: Document Layout Analysis (DLA)



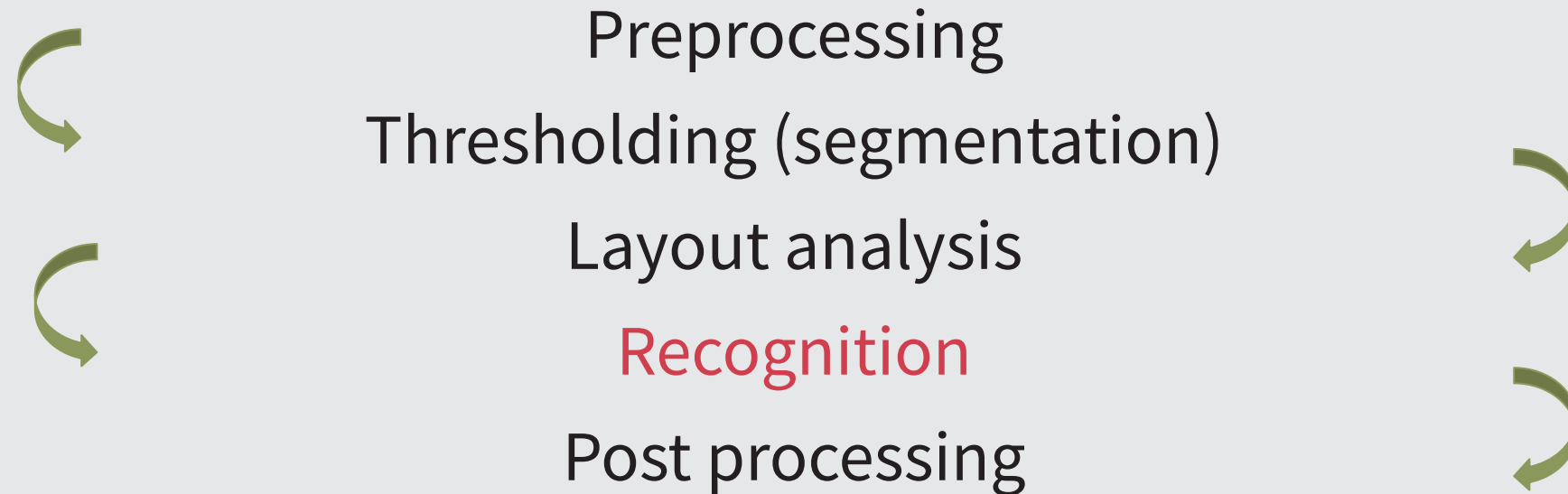
- DLA is the identification and categorization of regions
- DLA implies a geometric analysis of tables, pictures, equations, and barcodes and a **logical layout** analysis (paragraphs, lines, words, characters) of the document



Soto, C. and Shinjae Yoo. “Visual Detection with Context for Document Layout Analysis.” EMNLP (2019).



### What's in an OCR engine?



## A traditional/standard OCR is not enough

- colored backgrounds
- glaring skew
- text in tables and graphics
- handwritten text
- same font in different size
- underlined text
- hard to scale



*The Lepontic Inscriptions and the Ligurian Dialect* 11

Irish, cf. *cia*, Latin *quod*: Welsh *pwŷ*, Osc. *pod*) Ligurian was a *q*-dialect; but the Keltic dialect of North Italy was a *p*-dialect, turning I. Eu. *q* into a labial (e.g., *petorritum*: Latin *quattuor*, cf. *Epona*, did labialize the voiced *b*, Osc. *bivus* 'alive' (n. Irish *biu*, *beo*). When, we see that Ligurian is *pidelic*, e.g., Irish), for *irrim* 'burn', *gor* 'fire' Thus in respect to other Italic nor Keltic, resembles Irish. On

Font size 10, plain text;  
 Font size 12, bold text. Underline, bold text.  
 Underline, italic, bold text.  
 Font size 22, plain text.  
 Bold text.  
 Italic text.

Simple table :

1 <sup>st</sup> column	2 <sup>nd</sup> column	3 <sup>rd</sup> column	4 <sup>th</sup> column	5 <sup>th</sup> column
1.1 item	1.2 item	1.3 item	1.4 item	1.5 item
2.1 item	2.2 item	2.3 item	2.4 item	2.5 item
3.1 item	3.2 item	3.3 item	3.4 item	3.5 item
4.1 item	4.2 item	4.3 item	4.4 item	4.5 item
5.1 item	5.2 item	5.3 item	5.4 item	5.5 item
Empty				Empty
Last items				Last items



## Key-Value Pair (KVP)

KVPs are two related data items, a key, and a value. The key defines the data and is fixed, and the value is variable and describes the key.

Example: BIC (key) : 12345678901 (value)

**Crédit Mutuel**  
RELEVÉ D'IDENTITÉ BANCAIRE

**TITULAIRE DU COMPTE**  
Père Noël  
1 chemin des nuages, 15250 Pôle Nord

<b>Code banque</b>	<b>Code Guichet</b>	<b>Numéro de compte</b>	<b>Clé RIB</b>
11808	00910	12345678901	47

**IBAN** FR7611808009101234567890147

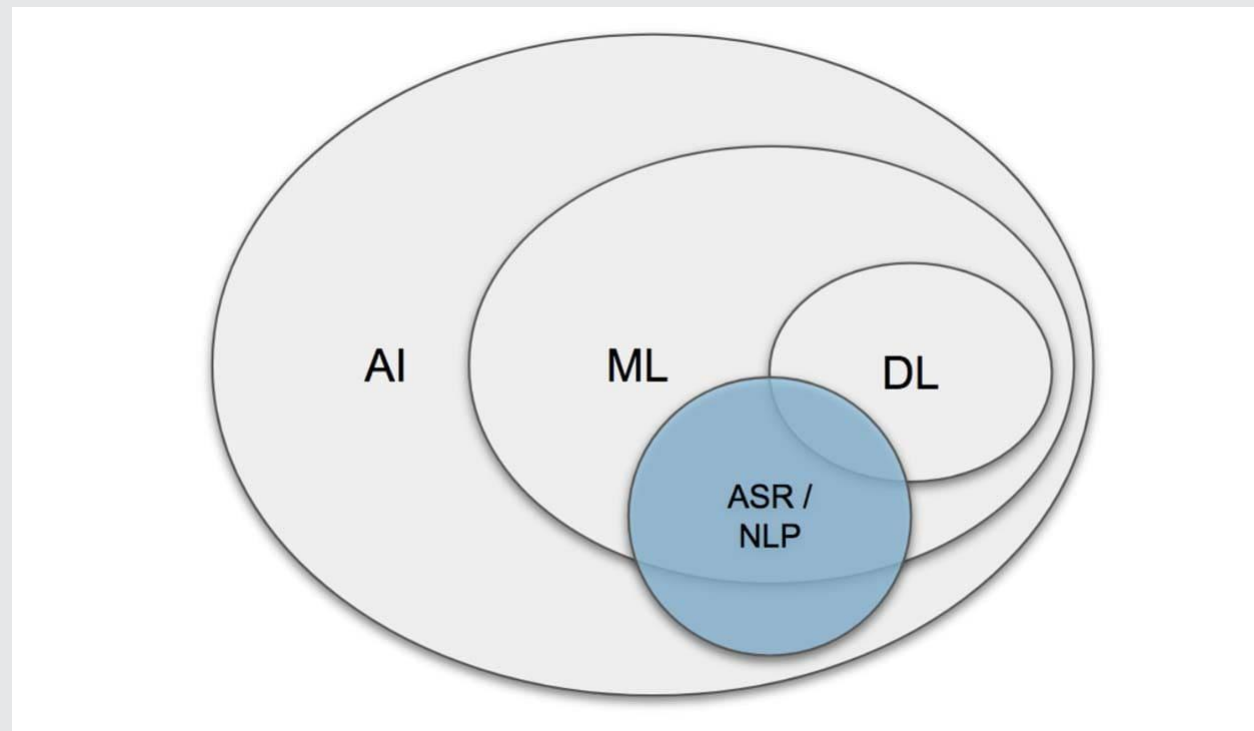
**BIC** 12345678901

**DOMICILIATION**  
CCM COLOMIERS PERGET, 1 B R A LAURENT DE LAVOISIER  
31770 COLOMIERS

**Té :** 0534426150

Name: IBAN | Value: FR7611808009101234567890147 | Type: IBAN | Accuracy: 100%  
Name: Tél | Value: 05-34-42-61-50 | Type: PhoneNumber | Accuracy: 100%  
Name: Code banque | Value: 11808 | Type: Number | Accuracy: 74%  
Name: Code Guichet | Value: 00910 | Type: Number | Accuracy: 74%  
Name: Numéro de compte | Value: 12345678901 | Type: Number | Accuracy: 93%  
Name: Clé RIB | Value: 47 | Type: Number | Accuracy: 74%  
Name: BIC | Value: 12345678901 | Type: Number | Accuracy: 75%

## Data extraction



1. Extracting unstructured information or text with OCR or OCR + DL
2. Making sense of this unstructured information with DL + NLP



## Tech 5: Named-Entity Recognition (NER)



- NER is a form of Natural Language Processing (NLP), a subfield of artificial intelligence
- NER helps with KVP extraction: faster & more accurate
- Building an algorithm with NER is not sufficient to address all the challenges







BETTER

MUCH

THINGS

MAKE





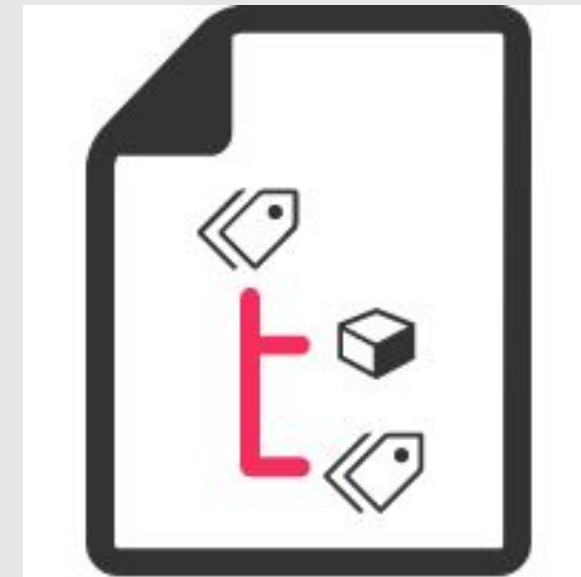
### Benefits of a performant document understanding system on OCR

- improves the OCR recognition step, especially with LSTM-based recognizers
- permits to move beyond simple OCR processes and towards document understanding



## Benefits of a performant document understanding system on tagging

- automatic tagging
- better accessibility support by making the **conversion to PDF/UA** easier



### Benefits of a performant document understanding system on conversion

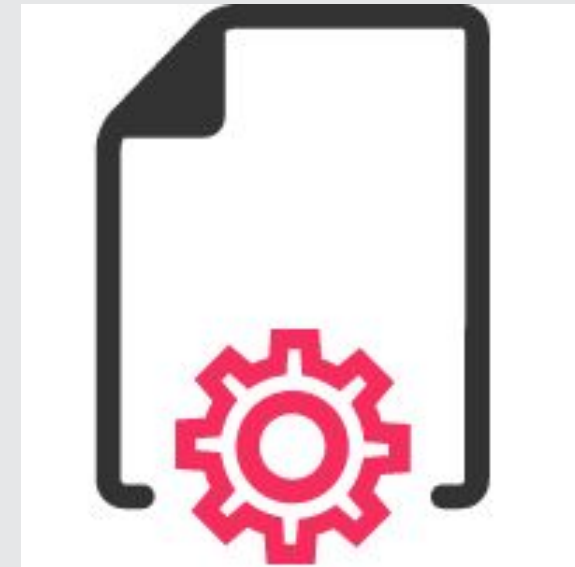
- structured layout conversion
- improves the conversion of a fixed into an editable layout (PDF to Office formats)





## Benefits of a performant document understanding system on automation processes

- automatic indexing
- automatic labeling



## Benefits of a performant document understanding system on redaction

- automatic redaction



## Benefits of a performant document understanding system on compression

- better MRC compression
- better color detection compression
- better PDF optimization





# QUESTIONS

Keep in touch with us! [m.pizur@orpalis.com](mailto:m.pizur@orpalis.com) / [e.tellier@orpalis.com](mailto:e.tellier@orpalis.com)  
[www.orpalis.com](http://www.orpalis.com)